

**In the United States Patent and Trademark Office**

Serial No.: 09/871,176 Docket No.: 17209-601  
Filed: May 31, 2001 Conf. No.: 9946 Art Unit: 2442  
Applicant(s): Andrew J. R. Smith Examiner: Survillo, Oleg  
For: Method and System for Processing Raw Financial Data Streams to  
Produce and Distribute Structured and Validated Product Offering  
Data to Subscribing Clients

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Mailstop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

Further to the Notice of Allowability and Notice of Allowance dated April 2, 2010 (hereinafter "Notices"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, Andrew J. R. Smith and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 27 of this paper.